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Question Paper Code	12404
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M.B.A - DEGREE EXAMINATIONS, NOV / DEC 2023

Third Semester

Master of Business Administration

20MBF308 - INVESTMENT BANKING OPERATIONS

(Regulations 2020)

Duration: 3 Hours

Max. Marks: 100

PART - A (10 x 2 = 20 Marks)

Answer ALL Questions

- | | <i>Marks,
K-Level, CO</i> |
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| 1. What is Reference Data Management in Banking? | <i>2,K1,CO1</i> |
| 2. Differentiate between Static data and Dynamic data. | <i>2,K2,CO1</i> |
| 3. Explain the term Trade Life Cycle. | <i>2,K2,CO2</i> |
| 4. What is the role of ISDA in Investment Banking? | <i>2,K2,CO2</i> |
| 5. What is Exchange in Investment Banking? | <i>2,K1,CO3</i> |
| 6. Who are the participants in the Investment process? | <i>2,K1,CO3</i> |
| 7. What are the 4 types of Reconciliation? | <i>2,K1,CO4</i> |
| 8. What is the purpose of Corporate Action? | <i>2,K2,CO4</i> |
| 9. What are Securities in Investment Banking? | <i>2,K2,CO5</i> |
| 10. Who are participants in Collateral Management? | <i>2,K1,CO5</i> |

PART - B (5 x 13 = 65 Marks)

Answer ALL Questions

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| 11. a) Explain Security Identifiers in Investment Banking. | <i>13,K2,CO1</i> |
| OR | |
| b) Discuss the Importance and Scope of Data Management. | <i>13,K2,CO1</i> |
| 12. a) Discuss on ISDA documents. | <i>13,K2,CO2</i> |
| OR | |
| b) Explain the Trade Life Cycle stages in details. | <i>13,K2,CO2</i> |
| 13. a) Explain the Pay in, Pay out and Settlement in Investment Banking. | <i>13,K2,CO3</i> |
| OR | |
| b) Explain the Trading Mechanism in Investment. | <i>13,K2,CO3</i> |
| 14. a) What is an Acquisition? List out the reasons for Acquisitions entering a New or Foreign Market. | <i>13,K2,CO4</i> |
| OR | |
| b) Explain in detail the various types of Corporate actions. | <i>13,K2,CO4</i> |

K1 – Remember; K2 – Understand; K3 – Apply; K4 – Analyze; K5 – Evaluate; K6 – Create

12404

15. a) Explain the Advantages and Limitation of trading in SLB. 13,K4,CO5

OR

b) Demonstrate the process in Collateral Management. 13,K4,CO5

PART - C (1 x 15 = 15 Marks)
(Case Study - Compulsory)

16. a) HDFC was amongst the first to receive an ‘in principle’ approval 15,K5,CO5
from the Reserve Bank of India (RBI) to set up a bank in the private sector. The bank at present has an enviable network of over 4,805 branches spread over cities across India. All branches are linked on an online real time basis. Customers in over 500 locations are also servicing through telephone banking. The bank also has a network of about over 12,860 networked ATMs 2,657 across cities and towns. HDFC Bank provides a number of products and services including wholesale banking and retail banking, treasury, auto loans, two wheeler loans, personal loans, loans against property, consumer durable loans, life style loan, credit cards and the various digital products. HDFC Bank is known for its innovative products and services, excellent customer service, and extensive network of automated teller machines (ATMs) that are available 24/7. The bank's digital offerings include mobile banking, internet banking, and an easy-to-use mobile app that allows customers to perform various transactions on-the-go seamlessly. HDFC Bank has received numerous awards for its outstanding performance in the financial sector in India and is widely recognized as a trustworthy and reliable partner by its customers. HDFC Bank’s digital woes somehow seem to continue, even after the Reserve Bank of India (RBI) gave a hard rap on the knuckles last December following a series of digital outages, barring it from new digital launches till it resolves the technology issues. The December action from the RBI was supposed to be a strong warning for the new management under Shashidhar Jagdishan to get the technology capabilities ready and avoid further slips. On March 30, HDFC Bank again reported a problem. It said some of its customers are facing intermittent issues in accessing its digital banking channels. Later in the day, an HDFC Bank spokesperson said the bank has resolved the snag. “The issue faced by some of our customers in accessing net banking/mobile banking stands resolved. We apologise for the inconvenience caused and thank you for your patience,” the spokesperson said.

1. Why that HDFC bank is is facing an M-Cap crises?
2. What is the Solution that you can suggest for the issues faced by HDFC?